

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: A61F 2/38, A61B 17/56

(11) International Publication Number: A1

WO 93/22990

// A61F 2/30

(43) International Publication Date:

25 November 1993 (25.11.93)

(21) International Application Number:

PCT/SE93/00427

(22) International Filing Date:

14 May 1993 (14.05.93)

(30) Priority data:

9201557-7

18 May 1992 (18.05.92)

SE

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(81) Designated States: AT, AU, BB, BG, BR, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

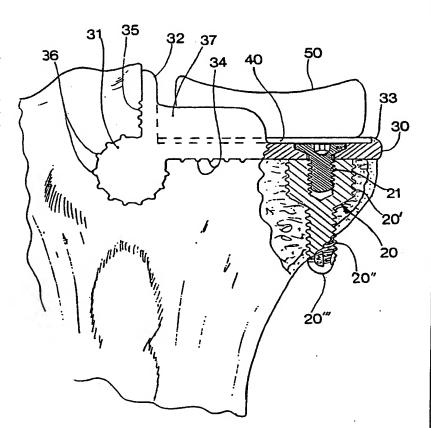
Published

With international search report.

(54) Title: JOINT PROSTHESIS AND APPARATUS FOR PREPARING THE BONE PRIOR TO FITTING OF THE PROS-THESIS

(57) Abstract

The invention relates to a prosthesis for uni-condylar or bi-condylar replacement of a joint in a human body and in particular to the tibia side of a knee joint. By means of an elongate fixture, a prosthetic plate is secured in position in a pre-prepared tibia portion and is allowed to heal, without further surgery being required. The invention also relates to a device for preparing the knee joint for prosthetic reception.



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## Joint prosthesis and apparatus for preparing the bone prior to fitting of the prosthesis

Description

The invention relates to a joint prosthesis for permanent anchorage in the bone tissue of one of the articulatory parts of a joint in the human body, in particular the tibia side of a knee joint, wherein the prosthesis comprises a flat, plate-like element having an elongate fixture portion. The invention also relates to an apparatus for preparing said joint for acceptance of the said prosthesis.

The reasons for surgical replacement of articulatory parts of knee joints or other joints and the various methods adopted are to some extent summarised in the 20 introductory portion of published European Patent Application EP-A-0 183 669 (priority claimed from SE 8405989). This document also discloses an example of an improved method for replacing a joint and a joint prosthesis for fitting to human body joints, in 25 particular to knee joints. The method adopted in that application is to perform two successive operations (a so-called two-seance procedure) on the subject. In the first operation an anchoring element is fixed into one or both sides of a knee joint i.e. the tibia (shin 30 side) and femur (thigh side) and then allowed to integrate into the bone tissue (so-called osseointegration). Once firmly anchored (after about 6 weeks) a second operation is performed, whereby articulation members are firmly attached to the 35 anchoring members.

Whilst this method is clearly successful, it suffers

from the drawback that the patient must be subjected to two individual successive operations, in order that full unloaded osseointegration can occur. Moreover, the bone cutting operations required are fairly complex.

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The present invention provides a solution to these drawbacks by providing a joint prosthesis, the essential features of which are defined in claim 1 and by providing an apparatus for preparation of the joint for allowing fitting of the prosthesis, the essential features of the apparatus being defined in claim 16.

Preferred features of the invention are defined in the dependent claims.

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Whilst the following explanation relates particularly to the tibial side of a knee joint it should be understood that a similar prosthesis and method of fitting the same can of course be adapted to other joints.

With the joint prosthesis according to the invention only one major surgical operation is required, during which the knee joint is prepared in a simple yet accurate manner and then fitted with a knee joint prosthesis which is firmly secured. Osseointegration and full healing can then take place without the need for further surgery.

The invention relates, in one embodiment, to a unicondylar prosthesis and in another embodiment to a bicondylar prosthesis, although the principles of fixation are similar in both cases.

### 35 Brief description of the drawings

The principles, further preferred features and

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particular advantages of the invention are best described in conjunction with the attached drawings in which:

Fig.1 depicts a top portion of a typical tibia bone to which a prosthesis is to be fitted.

Fig. 2 depicts a plan view of the tibia of Fig. 1 after drilling, sawing and then taking out a section of the bone.

Fig.3 depicts a side view of the tibia in Fig.2.

Fig. 4 depicts an embodiment of the apparatus used to cut away the section which has been removed in Figs. 2 and 3.

Figs.5 (a), (b) and (c) show the preparation tools which are used in filing the bone surfaces and cutting of the required spline or serrated channels.

Fig.6 depicts the main part of the prosthesis being inserted into the prepared knee joint, a screw fixture already having been inserted into and through the bone.

Fig.7 shows the screw fixture, the plate-like element with elongate fixture, fixing screw, bearing plate and sliding element which together constitute the set of elements of the prosthesis.

Fig.8 shows the uni-condylar prosthesis in position.

Fig.9 depicts, from a rear and side view, the platelike element and an elongate cylindrical fixture element before attachment of one to the other at the point of fabrication.

Fig.10 depicts a part sectional view through the unicondylar prosthesis after fitting.

Fig.11 depicts another embodiment of the invention which concerns a bi-condylar prosthesis, the tibia having been removed for clarity and the femur being depicted.

Fig.12 shows a view of Fig.11 before the keel element is attached to the keel-fixture and before the sliding element is applied over the top.

Fig.13 depicts the sliding element in position over the keel and partly shows the freedom of movement which the sliding element has.

Fig.14 shows an exploded view of a further bi-condylar prosthesis having only one elongate fixture and the tibia bone prepared to receive said prosthesis.

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Figs 15, 16 and 17 depict two views of another preferred embodiment of a bi-condylar prosthesis having two elongate fixtures, Fig 16 showing a section along the line A - A in Fig 15.

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Figs 18 and 19 depict two different views of a bicondylar prosthesis similar to the one shown in Figs 15 - 17, but having only one elongate fixture.

30 <u>Detailed description of preferred embodiments of the invention.</u>

Prior to performing the fitting operation, the patient will have already undergone a pre-operational X-ray study and planning phase, during which the required measurements and parameters for the prosthesis will have been determined. The parameters arrived at allow

the correct selection of dimensions for the prosthesis elements which are of course manufactured prior to the surgical operation.

Fig.1 depicts a typical tibia bone 1 from a human leg to which a prosthesis is to be fitted. It should be understood that the bone preparation and prosthesis fitting steps described hereinafter are all carried out during one and the same surgical operation.

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Firstly the tibia must be prepared before fitting of the prosthesis is possible. The first step in the operation is to drill a hole 2 all the way through the tibia starting at the front of the bone. Whilst the choice of hole bore dimension is to some extent optional within limits, a hole with approximately 8mm diameter has been found to be satisfactory. However, the actual hole diameter chosen must be such that the hole bore closely corresponds to the diameter of the elongate fixture portion of the prosthesis replacement chosen.

One particular advantage of the invention is that the hole 2 to be drilled in the bone will be in an area of healthy bone such that good osseointegration will take place. Prior methods have meant that part of the fixture portion has often been in a portion of the bone which is not healthy.

The next step in the operation is to remove a unicondylar quadrant 3 as shown in Fig.1. The resulting bone appearance is depicted in Figs.2 and 3 which show respectively plan and side views of a thus-prepared tibia.

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The quadrant 3 can be removed by several methods but, to provide accurate guidance and to ensure that the

surfaces 3' and 3'' are orthogonal to each other, it is preferred to use the apparatus shown in Fig.4 which comprises an L-shaped block 4, having vertical and horizontal slots 5,6 therein which act as true guiding surfaces for the saw elements 7 and 8 which are used to saw out the quadrant of uni-condylar bone 3. For positioning purposes, the block 4 is of course mounted slidably on a guide 9 and clamped by a clamp 4' in position. The clamp also allows a pivotal movement of the guide rod 9 such that the lower open positioning portion 9' can be secured against the ankle part of the patient's leg. This pivotal and longitudinal freedom allows the same apparatus to be used for different leg widths and sizes.

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The correct position of the saw blades in substantially vertical and horizontal directions is ensured by a further guiding device in the form of a cylindrical projection 10, formed integrally with the L-shaped block and which is a good fit in the hole 2 previously drilled in the tibia. By the combination of the elements of the apparatus of Fig.4 a precise positioning of the saw elements is assured.

The cuts made by the saw are fairly accurate, but to provide a smooth surface to which the prosthesis is to be secured, the surfaces 3' and 3'' must first be filed flat. This is performed using the file 11 shown in Fig.5(a) which has its elongate cylindrical portion inserted into a guide element 12 placed, for this purpose, in the hole 2 already made in the tibia.

Whilst the use of splines or serrations 34, 35, 36 as will be explained hereinafter, are the most preferable embodiment of the invention it should be understood that they are not essential and that anchorage of the prosthetic plate and fixture portions by

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osseointegration is possible without them.

Presuming that splines are to be used, then the following sequence of cutting and preparing steps are adopted. Once the cut surfaces are sufficiently smooth, the file 11 is replaced by a further file 13, as shown in Fig.5(b), the file having a planar lower surface provided however with spline-cutting or serration-cutting file elements 14. It is noted here for information that the splines or serrations might also be referred to as "rifling". Additionally, the file 13 is foreseen with further splines or serrations 15 attached to a vertically extending plate or flange portion.

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The spline-cutting or serration-cutting surfaces 14 and 15 of the file are so arranged that the splines or serrations are cut to the required depth by a single movement of the file across the bone surfaces, such that the trailing edges of the file pass across the whole bone surface. In order to achieve this, the lower surface of the file 13 is foreseen with a series of cutting elements arranged along each of the splinecutting surfaces 14, 15. The cutting elements will have a negative angle (i.e. they slope top-to-bottom towards the cutting direction) and will increase in depth along each of the elements 14 and 15. Thus, the height of the first cutting elements at the leading edge of the file will be minimal and the height of the cutting elements will increase successively towards the trailing edge, up to a height corresponding to the desired final spline channel depth.

With the tubular part of the file positioned in the tubular guide 12 and by the single movement of the cutting splines 14 and 15 the channels will be cut into the surface of the exposed bone until the flat plate-

like parts of the file come to bear against the bone surface. After channel cutting, the file 13 and the guide 12 are removed from the hole 2.

5 A further file 16 is now inserted via its tubular portion into the hole 2. The cutting portions 17 on this file, similar to those on the previous file 13 are then slowly worked into the hole 2 surface whilst the horizontal and vertical plate portions of the file 16 lie against the cut surfaces 3' and 3'' in order to act as guiding elements. In this manner horizontal spline channels or serrations are made in the surface of the drilled hole 2.

A double operation drilling sequence is now required to 15 allow insertion of the screw fixture 20 shown in position in Fig.6 . This screw fixture has the purpose of providing a threaded bore for a screw 21 which is inserted into the horizontal plate-like element of the prosthesis via a hole which has been countersunk from 20 the top of the plate and thereby holds in position the plate like element of the prosthesis. With reference to Fig.10, one can more easily appreciate the double drilling operation. A small diameter hole is first drilled to receive the lower threaded portion 20" of 25 the fixing screw 20. Then, in a second operation, a larger diameter drilling is made to receive upper threaded portion 20' of the fixture 20. It is important that the first drilling operation passes through both the soft part of the bone (cancellous bone) and the 30 hard exterior surface (cortical bone) such that the screw fixture 20 passes all the way through the outer surface. As can be seen, a sloping surface is normally provided between the upper 20' and lower 20' sections of the drilling. 35

The tibia is now ready for insertion of the screw

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fixture 20, into the hole foreseen therefor. The screw fixture is normally constructed from commercially-pure titanium and is self-tapping, the cutting surfaces of this fixture being visible for example on the thinner end of the fixture, just protruding through the cortical bone. The end 20''' of the fixture 20 is rounded, as are also at least the lower exposed threads. This ensures that, once fitted, no damage will occur to the surrounding tissue due to a sharp surface of the screw fixture which extends all the way through the outside of the bone such that the threaded portion of the fixture is visible.

Once the fixture 20 has been inserted, the prosthesis 15 plate-like portion 30 with combined elongate fixture element 31, which has already been manufactured prior to performing the operation, is inserted such that the countersunk hole in the plate-like part 30 of the prosthesis lines up with the bore of the screw fixture 20 20. The screw 21 can then be inserted through the countersunk hole and into the screw fixture. The screw is then tightened to hold the plate/fixture 30, 31 of the prosthesis in position. It should be noted here that the countersunk hole will have been drilled, prior to the operation, in either an area of the plate-like 25 element not having splines or serrations or one which does have splines or serrations. The most preferred form would however be one where the hole is in an area without serrations or splines.

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Whilst one embodiment of the plate-like element (30) and fixture element (31) have been illustrated it will be clear to the reader that a prosthesis is also covered by the invention, in which no splines or serrations are present. Moreover, whilst in the unicondylar embodiment the tube-like fixture element (31) is depicted as being directly attached to the plate-

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like element it would also be possible to connect the two elements 30 and 31 via a continuous rib or series of posts forming a discontinuous rib (as is explained hereinafter with reference to a bi-condylar prosthesis).

As can be seen from Figures 6 and 10 for example, the top of the screw fixture is substantially flush with the upper surface of the bone (i.e. surface 3'') before insertion of the plate 30 and fixture 31. Whilst this flush relationship can be achieved by using a level surface prior to fitting of the plate/fixture 30, 31, this is only the case if the screw hole in the platelike element 30 is located in an area where there are no splines or serrations laterally (i.e. in the direction of insertion) of the hole. It is thus preferred that the screw hole will be located in an area of the plate without splines or serrations. However, for the case that the screw hole is located in an area having splines or serrations, the screw fixture will be screwed down into the bone far enough so that its upper surface is below the deepest part of the serrations cut in the surface 3'' of the bone. The plate/fixture 30 will then be inserted, the splines 34 passing unhindered over the top of the screw fixture and then the screw fixture may be slightly unscrewed, through the hole in the plate 30, to become flush with the upper surface 3'' of the bone.

During sliding of the plate/fixture 30, 31 into position, the plate-like portion 30, dimensioned with respect to the prepared bone surface for this purpose, will be in good frictional engagement with the bone (a type of interference fit) and will cause a minor pretensioning of the bone thereby. This fitting relationship greatly contributes to the improved stability of the prosthesis.

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After insertion, the prosthesis will be a tight fit in the specially prepared tibia, such that the spline or serrated grooves cut for the prosthesis will be in close contact with the corresponding splines 34, 35 and 36 of the prosthesis element, which can best be seen in Figures 7, 8, 9 and 10. The upstanding portion or flange 32 will then lie against the vertical filed-flat bone surface 3' of the tibia and the lower surface of the plate 30 lies against the horizontally prepared surface 3''.

The arrangement of the splines/serrations on the various surfaces gives a large increase in the surface area available for osseointegration and, in addition, the provision of the splines 36 on the elongate fixture element has the particular advantage that torsional or rotational forces caused by movement of the plate 30 are possible without loosening of the joint or having a detrimental effect on the osseointegration process, since a large surface area is available for absorbing the force and allowing a large area of the bone tissue to elastically deform. A similar, although not quite as stable an effect, is possible with an elongate fixture element having no splines or serrations since the fixture has been inserted into an area of healthy bone, providing good integration but the splined version is more preferable of course since less stress is applied to each point of integration.

Having fitted the prosthesis plate part 30, the screw 21 is added and tightened. The next step in the procedure is to fit a bearing plate 40 (see Fig.10) on to the upper surface of the plate 30. The bearing plate provides a smooth upper surface on which the sliding element 50 (a type of artificial meniscus) can slide, and consequently the bearing plate 40 will normally be made of a material such as Chromium/Cobalt alloy,

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Chromium/Cobalt/Molybdenum alloy or possibly a ceramics material. However the choice of material is of course not limiting for the scope of the invention, although the above mentioned materials are examples of suitable alternatives. The bearing plate 40 is held in position on the plate 30 at its front end by means of a smaller projection or flange 33 which will be approximately flush with the top surface of the bearing plate 40 when this is fitted. This is shown in Fig.10 for example. Laterally, the plate 40 is held in position by the lower part of further projections or possibly flanges 37, the flanges 37 also having the purpose of limiting, but not preventing lateral movement of the sliding element 50 (to be added subsequently).

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It must be ensured that the bearing plate 40 does not slip out of position when in normal use and, whilst the forces applied to it will generally be such that it will be kept in place, it is preferable that the bearing plate 40 is arranged to be a snap-fit on the plate 30 in between the upstanding flange elements 32, 33 and 37.

The aforementioned sliding element 50, of a durable plastics material (such as for example ultra high 25 molecular weight polyethylene), is then placed on top of the bearing plate 40. Its position can be seen in Fig. 10 for example. The sliding element 50 is proportioned such that its dimensions in the horizontal plane (in all directions) are less than the bearing 30 plate 40, thus allowing the sliding element 50 to be able to slide on the surface of plate 40 to allow movement in the medial/latero and anterio/posterio directions (or a combination of both) to occur to a limited extent in the knee. As is visible from Fig.7 3.5 for example, the upper surface 51 of the sliding element 50 is dished, possibly spherically dished, so

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as to provide a bearing surface with lateral and longitudinal support for a condyle of the femur.

The tibia side of the prosthesis is now ready. This ready state is depicted in Figures 8 and 10 for example.

Regarding some particular structural features of the prosthesis, it should be mentioned that the various metal parts of the prosthesis and in particular the main body of the prosthesis including the plate 30, tube-like fixture 31 and flange 32 are normally constructed of commercially pure titanium as are also the screw fixture 20 and screw 21. This material is chosen not only for its well-known mechanical properties but also because it has been found to be possibly the best implant material which is well adapted to osseointegration. However it is clear that any material(s) suitable for osseointegration can be used.

As shown in Fig.9, the main body of the prosthesis can be formed in two sections, the plate portion and the elongate fixture portion which are then attached together normally by welding and often by laser welding. Due to the highly reactive nature of molten titanium an inert atmosphere during welding is then generally required.

One particular advantage of this operative technique and prosthesis for replacing a single condyle is that the cross ligaments in the knee joint do not have to be removed for preparing the knee or for fitting the prosthesis. This is of course a consequence of the fact that the hole 2 is bored from the front of the knee (from the rear is possible but the large concentration of nerves would make this awkward) and that the knee

ligaments are attached at a point which lies outside of the removed quadrant. Clearly the fewer parts of the joint that are disturbed, the better in terms of healing time and effectiveness.

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The prosthesis with the spline/serrated connection as described has been found to increase the surface area of contact with the bone by some 200% or more, depending on the depth of splines or serrations of course and this is one of the main reasons for improved stability and longevity.

Whilst it is clear from the aforegoing that a good fit of the parts is ensured by accurate matching of the surfaces of the plate/fixture/flange/splines etc., it is nevertheless contemplated that a set of, for example, five different standard size prosthetic elements would be available (i.e. five sets of plates 30 etc. of differing sizes) and that the most appropriate elements for each patient would be selected after pre-operative (e.g. X-ray) examination. Thus although Fig.10 for example shows a fitted prosthesis with the plate-like part 30 meeting exactly with the end of the bone, it could be that there will be some minor difference. However, this is not of great importance.

Figures 11 to 13 and Fig.14 show two different embodiments of a whole-joint or bi-condylar prosthesis. Unless otherwise stated, it will be clear to the reader that the principles and advantages applicable to the uni-condylar prosthesis are valid for the bi-condylar prosthesis. Hence the general principles, for example for filing the spline/serrated grooves and the possible selection of materials, and general element shapes used are the same.

The reference numerals used for describing the bicondylar embodiments are similar to those used for the uni-condylar type except that 100 is added. Hence e.g. 2 becomes 102, 50 becomes 150.

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The preparation and fitting of the prosthesis occurs, as in the uni-condylar example, during one operation only but the operation requires cutting away the cross ligaments to perform the operation, since they are attached to the section to be removed.

Taking the embodiment in Figs. 11 to 13 for example, the bone at the top part of the joint is cut off to be substantially horizontal in normal use when the foot is flat on the ground. Similar tools to those used in Fig.5 (a), (b) and (c) are used to file the surfaces flat and to provide the drilled holes and the tibia upper bone surface with the appropriate spline or serrated grooves, although clearly two elongate extensions on each tool would be applied for fitting in the two drilled holes of this embodiment whereas only one elongate extension would be necessary with the embodiment of Fig.14 for example.

25 A further operative process is required however in that the bone upper surface, after having been cut, must be slotted to allow passage of the connecting portions 138 between the spline/serrated elongate fixtures 131 and the plate 130. A slot 102' of the type required and an 30 example of a continuous connecting portion 138 like a connecting rib are shown in connection with the single fixture element embodiment in Fig.14. Clearly the continuous portion 138 could also be a series of vertical connection portions, like small posts (i.e. a 35 discontinuous rib connection), if this was desired and this is often thought useful since the bone can grow between the posts and thus this possibility assists

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osseointegration due to the larger surface area of contact for integration.

Having prepared the bone surfaces, the bone is then drilled vertically through each remaining portion of the condyles, in a two stage process so as to provide holes of different diameters for accepting the screw fixtures 120 which have outer threaded portions 120' and 120' of differing diameters as in the first example.

Each of the screw fixtures are fitted and project through the hard bone surface in a manner corresponding to the first mentioned example. The prepared plate 130 foreseen with two tube-like serrated fixture elements 131 is slid into place over the upper surface of the bone until both screw fixture holes line up with the countersunk holes in the plate 130. The screws 121 are fitted and tightened. It is noted here that, as in the uni-condylar example, each of the screw holes in the plate 130 are normally placed outside the area of the plate 130 which contains the splines/serrations 134 (although they could be inside this area, which would again require a similar operation of unscrewing if one wished to obtain a flush surface of the screw fixture as described in connection with the uni-condylar example). This can be seen for example in Fig.11. The plate 130 also differs in that an upstanding keelfixture 60 (not present in the uni-condylar type) having a prepared threaded hole 63 is integrally formed on its upper surface. This keel would normally be formed centrally but of course could be off-centre as shown in Fig.14 for example. In fact due to the nonsymmetrical nature of the condyles of the tibia the non-symmetrical plate would be more common. Indeed the upper surface of the plate 130 would in any case almost always be asymmetrical. The shape of the cross-section

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of the keel fixture could be oval as shown, although other shapes are obviously possible.

Whilst the keel fixture is described above as "formed" on the upper surface, this expression also includes the possibility where the fixture is formed separately and then attached onto the plate by whatever means as long as the function of course is unaltered.

After the plate has been slid into position, the bearing plate 140 is snap-fitted or otherwise fitted to the prepared plate upper surface. The upper surface may of course include flanges, other projections or the like for forming a "lateral" holding means for the bearing plate 140.

The plate 140 also has a cut away portion 141 proximate the mid portion of the plate 140 which fits closely over keel-fixture 60, thus providing additional positional locating means. When the plate 140 has been fitted, a keel 61 with countersunk hole 62 is added over the top and the screw 64 is tightened thus holding the assembly firmly together.

2.5 A sliding element 150 of smaller dimensions than the upper area of the bearing plate 140 is fitted over the bearing plate to bear on it from above. The sliding element 150 is again of a suitable plastics material (e.g. UHMWPE) and has a recess 152 in its lower surface 3.0 which accommodates the keel 61 with some amount of free play in the horizontal plane (i.e. in the medial/latero and anterio/posterior directions or a combination of both). However movement vertically is not possible. This free play has been shown as "a" in Fig.11 and 35 allows the sliding element 150 to float over the plate 140 surface by a limited amount, but still constrains it at the limits. The sliding element 150 also includes

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a shaped upper surface with two, possibly spherically, dished upper recesses and a raised mid portion 153.

As shown in Fig.11 the femur condyles "fit" into each of these dished parts and of course the contour of the dished surface must be adequately matched to the femur condyles. The femur may not need a prosthetic replacement itself but this possibility is of course totally compatible with either the uni- or bi-condylar type of tibia replacement of this invention.

The sliding element 150 in position on top of the bearing plate 140 is shown in Figure 13. This Figure also shows the sliding element in a position where the free play "a" (in Fig.11) is has allowed the sliding element 150 to move in order to leave a gap at the front left hand side (as depicted) of the tibial prosthesis.

The embodiment of Fig.14 is a more preferred embodiment 20 of the bi-condylar prosthesis, since only one hole 102 and one slot 102' need to be drilled and cut respectively in the sawn-off tibial bone. The elongate fixture element 131 is clearly shown not central in this drawing and there are more splines on one side 25 than on the other due to this relationship. In particular this is not merely due to the asymmetrical nature of the top of the tibia but also since a fixture which is offset from the plate 131 centre in the medial/latero direction will allow that the drilling 30 and fitting of fixture element 131 will not interfere with the knee cap.

As before, once cut and filed appropriately (in accordance with the manner described previously), the plate 130 is inserted along with the fixture 131 and joint 138 into position on the prepared bone, having

screw fixtures 120 (previously described) already fitted therein. As also mentioned previously, a type of interference fit (in the order of a few hundredths of a millimetre) will occur thus giving a certain pretensioning of the bone surface and ensure a tight 5 fit, which will lead to more rapid integration due to the minimal movement of the prosthesis that is possible. Slight vertical movement of the end of the plate 130 (i.e. rotational movement about the elongate fixture(s) which functions as a rotational centre) on 10 either side is reacted by the splines 136, so that even where the prosthesis is not a perfect fit the splines anchorage in the bone will not cause loosening of the joint or have a detrimental effect on the osseointegration process. As stated previously also, 15 the provision of splines or serrations is not essential but a larger surface area for absorbing the aforementioned rotational forces is provided.

Visible in this Figure, but also applicable equally to the previous embodiments, is the bearing plate 140 with recessed edge shown at 142 so as to fit flush with the forward flange 133 of the plate 130. Similar recesses or flattened edges can be foreseen in addition or alone at the medial/latero edges of the plate 140 if this is felt necessary, although the keel fitting 60, 61 provides sufficient medial/latero stability in most cases such that such flanges on the plate 130 may not be necessary.

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The screws 121 are fitted and the bearing plate 140 fitted into place over the keel fixture 60. The keel is consequently fixed in position with the aid of screw 64 and the sliding element 150 fitted.

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A further advantageous embodiment of a bi-condylar prosthesis is illustrated in Figs 15 to 19. The main

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difference between this embodiment and the bi-condylar prostheses described above is that the elongate fixtures in this embodiment are designed to be oriented at an angle to the sagittal plane when the prosthesis has been implanted in the tibia, whilst the elongate fixtures in the above embodiments are designed to be oriented in parallel to the sagittal plane when implanted.

10 For the sake of simplicity, only the features that are different from the previous embodiments will be described in the following description. The prosthesis thus for instance can be provided with splines or not be provided with splines or be provided with one assymmetrically located elongate fixture or two more or less symmetrically located elongate fixtures.

All parts not described in detail, such as bearing plate or sliding elements etc, may be similar to the parts used in the above embodiments.

The prosthesis in the embodiment according to Figs 15 -17 thus comprises a plate 230 provided with two tubelike, elongate fixtures 231 which are attached to the plate 230 by means of a connecting portion 238. plate 230 also is provided with bore-holes 253 for attachment screws 254 going through the connecting parts 238 and the fixtures 231. This location of the holes 253 will allow the bores to be countersunk (at 259) to an extent sufficient to ensure that the head of the screws 254 does not interfere with with the upper surface of the plate 230. The screws 254 are provided with a circumferential groove 255 and the fixtures 231 are provided with a longitudinal, threaded bore 256 extending from one end across one bore 253 to the other bore 253. By means of this bore and groove, the screws 254 can be locked by means of lock screws 257 screwed

into the bore 258 into engagement with the groove 255. The function of these bore-holes and attachment screws is to lock the prosthesis against movements, primarily during the healing period.

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The line A - A indicates the orientation of the sagittal plane when the plate 130 has been slid onto the tibia. As indicated in Fig 15, the longitudinal direction of the fixtures form an angle  $\theta$  with the line A - A. The angle  $\theta$  may vary between 5° and 45°, but preferably is between 15° and 35°, most preferably 20°-30°. In the embodiment illustrated, the angle  $\theta$  has been chosen to be 20°.

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There are several advantages connected with this design. One important advantage is that the access to the knee joint is greatly facilitated. The fact that the fixtures are to be obliquely oriented relative to the sagittal plane means that all cutting and drilling operations can be performed from the ventral side of the proximal part of the tibia after cutting the anterior cruciate ligament without interfering with the patella since the patella and the ligamentum patellae easily can be pushed aside. Apart from this, the operation is essentially performed in the same way as the operation for implanting the embodiments according to Figs 11 - 14. When the implant has been slid into place, the holes for the attachment screws can be drilled into the bones through the holes 253 in the plate 230 and the screws 254, which preferably are self-tapping screws, can be screwed into the bone. The inner screw 254 of course has to be locked by its respective lock screw 254 before the outer screw 254 is inserted.

In this context it should perhaps be pointed out that

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the positioning of the attachment holes to the fixtures and the connecting portion as described here also is possible in the embodiments according to Figs 11 - 14.

Another important advantage of this embodiment is that the prosthesis will have a greater stability against movements during the healing period. The plate 230 will be subjected to forces oriented in the sagittal plane during the normal articulation movements of the knee joint, since these movements mainly will be oriented in the sagittal plane. The fixtures will however largely take up these forces due to their oblique orientation relative to the sagittal plane. This means also that the attachment screws 254, although useful in some applications, are not strictly necessary.

The upper, cut surface of the tibia will also be less disturbed and consequently have a better fit against the under side of the plate 230 since no fixtures (20, 120) for anchoring the plate are necessary. Another consequence is that the contact area between plate and tibia will be larger and that the risk that to much of the load on the plate is taken up by the fixtures is lessened. This in turn will enhance the osseointegration and lessen the risk for bone resorption.

The rotational movements of the plate around the elongate fixtures will also be counteracted to a larger extent since the distribution of the load acting on the plate 230 will be more balanced and the rotational movements, if any, will be deflected to an axis located in or along the oblique elongate fixtures.

The embodiment according to Figs 18 and 19 differs from the above embodiment in that only one elongate fixture is provided. The reference sign 230 thus denotes the

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plate, 231 the elongate fixture, 233 the flange holding the bearing plate 240, 236 denotes splines on the elongate fixture, 201 the tibia and reference signs 61 and 64 the parts holding the bearing plate 240.

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The advantages of this embodiment are largely the same as the advantages of the embodiment according to Figs 15 - 17. It should however be noted that there generally is less need for fixtures or attachment screws in the bi-condylar versions of the invention than in the uni-condylar versions.

Whilst particular embodiments have been described it is understood that the said embodiments should not be construed as limiting for the scope of the invention which is defined in the claims appended hereto.

### Claims

- A joint prosthesis for permanent anchorage in 5 1. the bone tissue of one of the articulatory parts of a joint in the human body, in particular the tibial (1, 101, 201) side of a knee joint, wherein the prosthesis comprises a flat, plate-like element (30, 130, 230) having at least one elongate fixture portion (31, 131, 10 231) attached thereto, characterised in that said prosthesis is adapted for fitting in one operation only, said elongate fixture portion (31, 131, 231) being offset relative to the plate-like element (30, 15 130, 230) so as to allow the elongate fixture portion (31, 131 231) to be located in healthy, relatively intact bone and allowing the elongate fixture portion (31, 131, 231) to function as a rotational centre for any movements of the plate-like element (30, 130, 230) 20 for instance caused by loads on said plate-like element.
- A joint prosthesis according to claim 1, characterised in that the elongate, preferably
   cylindrical, fixture portion (31, 131, 231) is provided with splines or serrations (36, 136, 236) which are substantially parallel to the axis of the elongate fixture portion.
- 3. A joint prosthesis according to claim 2, characterised in that the lower surface of the plate-like element (30, 130) has splines or serrations (34, 134) substantially parallel with the splines (36, 136) of the elongate fixture element (31, 131).
  - 4. A joint prosthesis according to any preceding claim, characterised in that the plate-like element

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- (30, 130, 230) has at least one hole, countersunk from the upper side of the plate-like element, for receiving an anchoring screw (21, 121, 254), the hole in the plate-like element being positioned in an area of the plate which area preferably has no splines or serrations (34).
- 5. A joint prosthesis according to any of claims
  1 to 4, characterised in that the elongate fixture
  10 portion (31) is positioned at one end of the plate-like
  element (30), and in that the plate-like element (30)
  at said end is provided with an upstanding flange (32)
  substantially perpendicular to the plate-like element,
  said upstanding flange (32) also being provided with
  15 splines or serrations (35) on one side thereof, said
  splines being substantially parallel to the axis of the
  elongate fixture portion (31).
- 6. A joint prosthesis according to claim 5,

  20 characterised in that the plate-like element (30) has a further perpendicular flange (33) or projection at the other end thereof and two further flanges (37) or projections at the sides.
- 7. A joint prosthesis according to any of claims 4 to 6, characterised in that the threaded shank of the anchoring screw (21) is received in a self-tapping screw fixture element (20), said screw fixture element having two integral portions (20' and 20'') of different diameter and being provided with a flat upper surface and an external screwthread adapted for being fixed in the bone tissue.
- 8. A joint prosthesis according to any of claims 1 to
  4, characterised in that the elongate fixture portion
  (131, 231) is positioned on the lower side of the flat
  plate-like element substantially in the mid portion of

the plate (130, 230).

- 9. A joint prosthesis according to any of claims 1 to 4 and 8, characterised in that there are two similar elongate fixture portions (131, 231) positioned on the lower side of the flat plate-like element (130, 230).
- 10. A joint prosthesis according to either of claims 9 or 10, characterised in that the plate-like element (130, 230) has an upstanding keel-fixture (60) on its upper side, said keel-fixture being approximately centrally positioned between the side edges of the plate-like element (130, 230).
- 11. A joint prosthesis according to any of claims 9 to 11, characterised in that the flat bearing element (140) is provided with a hole (141) which fits over the keel-fixture (60) to allow the bearing plate (140) to sit flat against the upper surface of the plate-like element (130), the bearing element (140) being held in position vertically by a keel (61) having a rounded upper surface, said keel being fixedly attached to the keel-fixture (60).
- 12. A joint prosthesis according to any one of claims 8
  11, characterised in that said elongate fixture(s)
  (131) are oriented along a plane which is parallel to the sagittal plane.
- 13. A joint prosthesis according to any one of claims 8
  11, characterised in that said elongate fixtures
  (231) are oriented along a plane which is not parallel to the sagittal plane.
- 35 14. A joint prosthesis according to claim 13, characterised in that said elongate fixture(s) (231) are oriented along a plane forming an angle of 15 to 45

degrees with the sagittal plane.

- 15. A joint prosthesis according to claim 14, characterized in that said elongate fixture(s) (231) are oriented along a plane forming an angle of 15 to 35 degrees with the sagittal plane, preferably 20 30 degrees.
- 16. A joint prosthesis according to any preceding claim, characterised in that on the upper surface of the plate-like element (30,130) there is provided a sliding element (50,150), preferably of a plastics material such as ultra high molecular weight polyethylene, with a dished, possibly spherically-dished, upper surface (51) or surfaces (151) for receipt of the other part of the joint.
- 17. A joint prosthesis according to claim 13, characterised in that the sliding element (150) is provided with a recess (152) in its lower surface for receiving the keel (61), said recess being larger than the keel (61) to allow a limited float (a) of the sliding element (150) across the bearing plate (140) upper surface.

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- 18. A joint prosthesis according to any preceding claim, characterised in that a flat bearing element (40) is positioned on the upper flat surface of the plate-like element (30), said bearing element preferably being made from Cobalt/Chromium alloy, Cobalt/Chrome/Molybdenum alloy or a ceramics material.
- 19. A joint prosthesis according to any preceding claim, characterised in that the material of the platelike element, fixing screw(s), screw fixture(s), anchoring portion(s), keel and keel-fixture is commercially-pure titanium.

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- 20. Apparatus for cutting away part of a joint prior to fitting of a prosthesis according to any of claims 1 7 to one side of the joint, said apparatus comprising a jig having an elongate projection (10) and a substantially L-shaped block (4) integral with said elongate projection (10), wherein the substantially vertical and horizontal arms of the L-shaped block are provided with slots (5, 6) extending all the way through, said slots acting as guide means for vertical and horizontal sawing elements (7,8) and wherein the substantially L-shaped block is slidably attached to a guide element (9).
- 21. Apparatus according to claim 20, further

  comprising a set of files (11, 13, 16) each foreseen
  with an elongate, preferably cylindrical, projection
  and a flat plate-like surface attached to the said
  elongate projection, the files being such as to be able
  to file flat the cut surfaces of bone and to cut

  corresponding spline channels in the bone surfaces for
  matching with the prosthesis splines (34, 35, 36, 134,
  136) or serrations.

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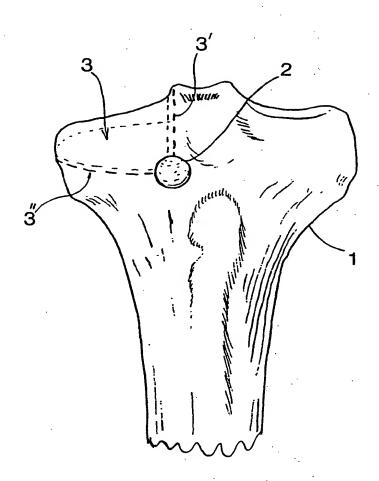
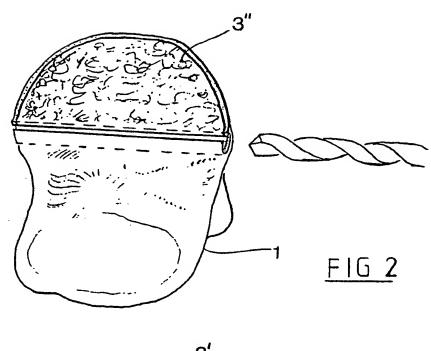
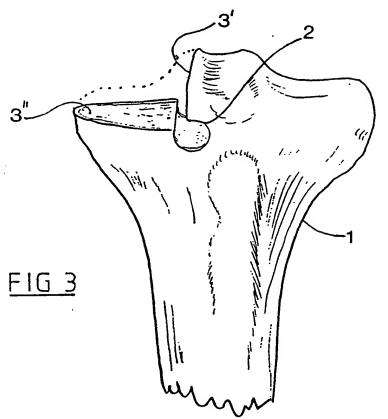


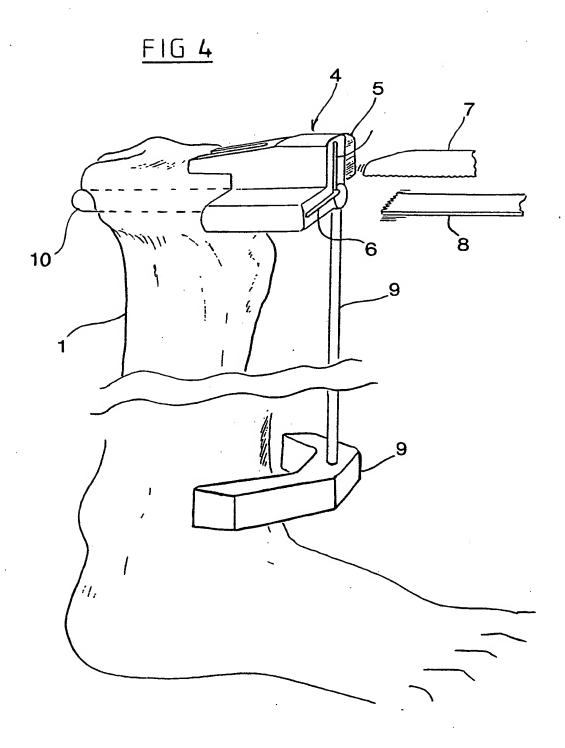
FIG 1







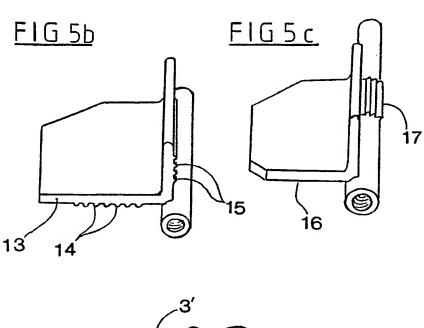
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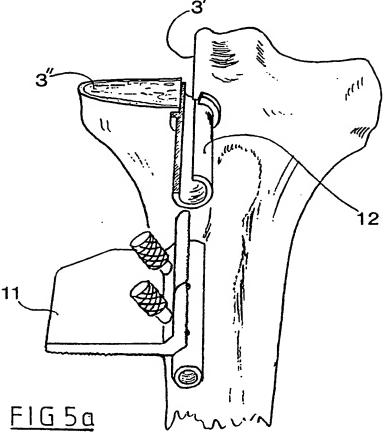


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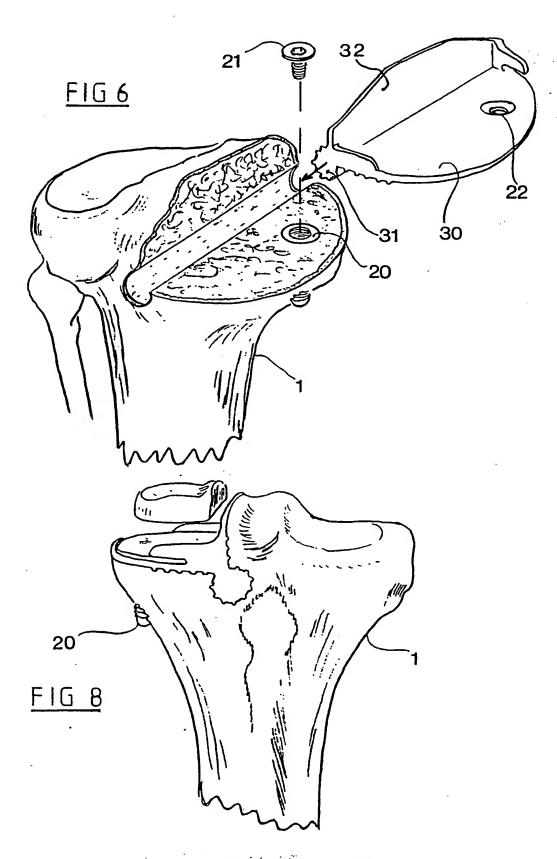
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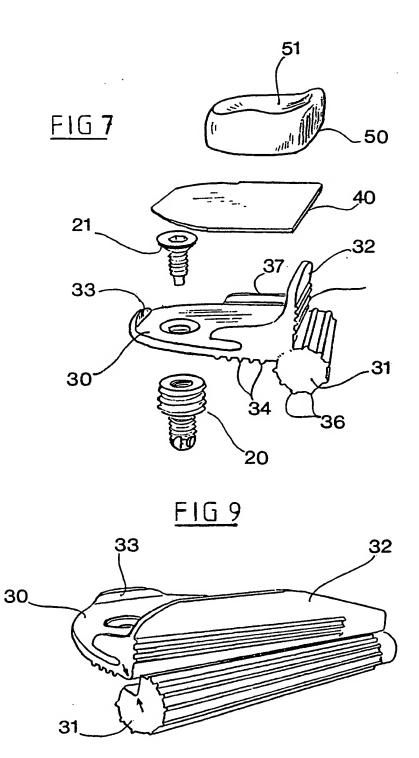




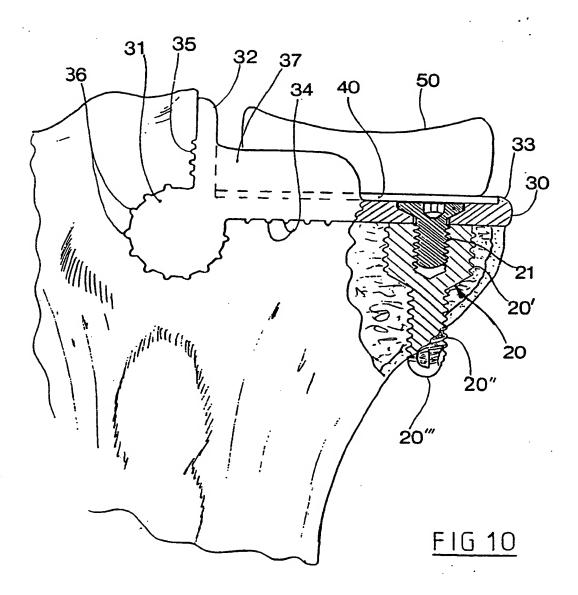
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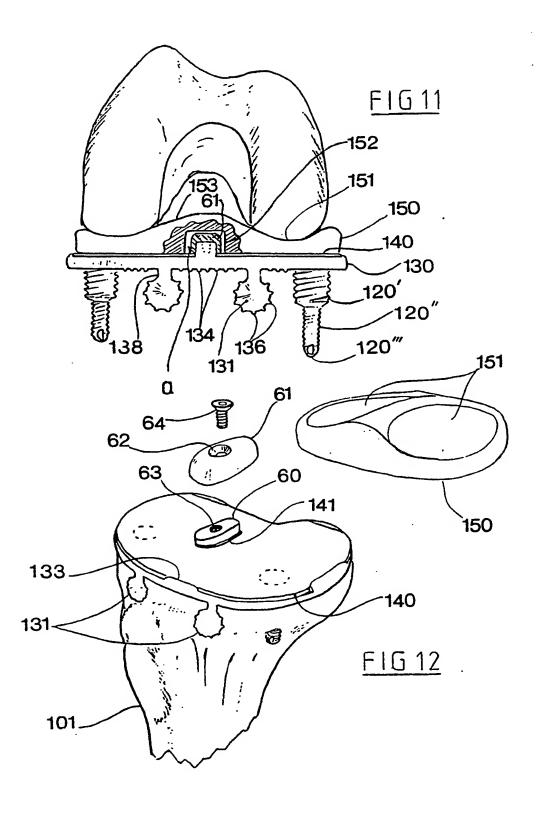


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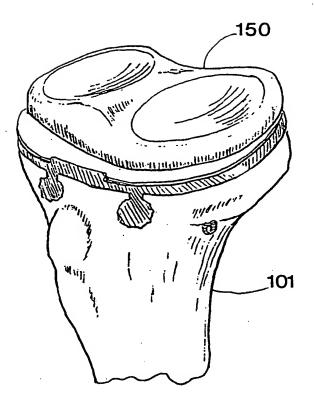
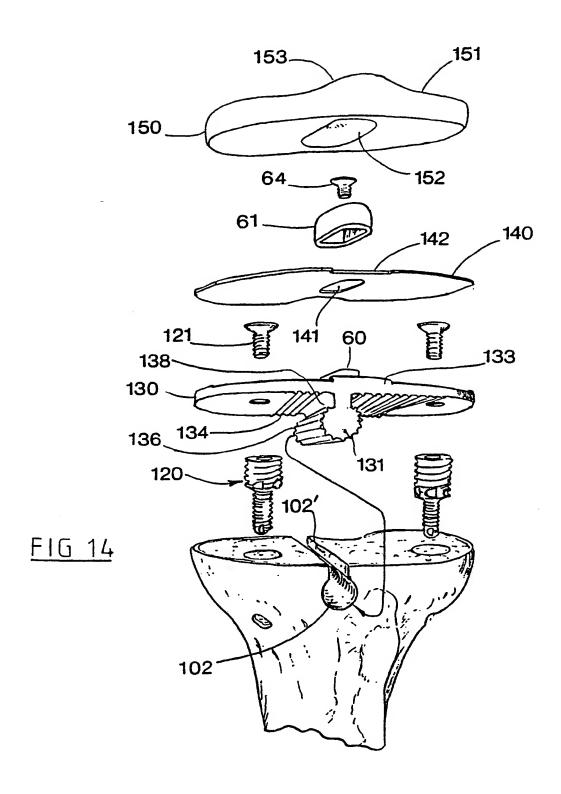
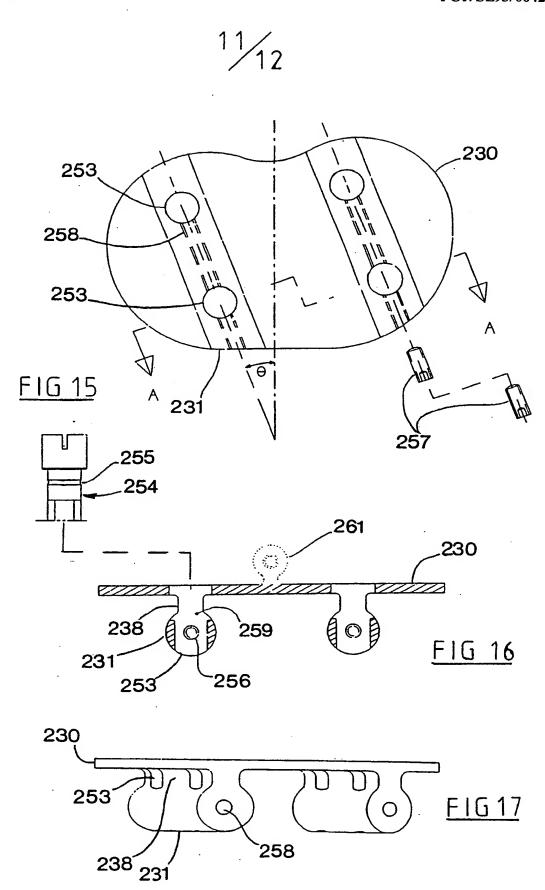


FIG 13

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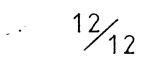
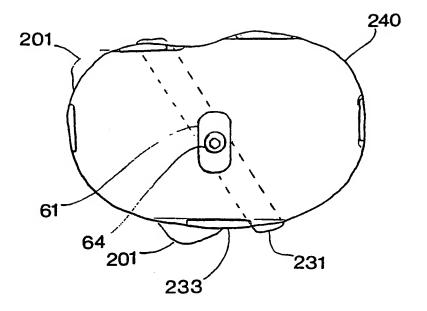
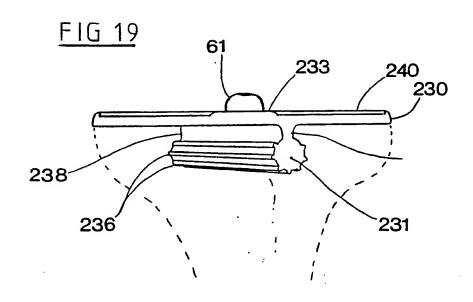


FIG 18





International application No.

PCT/SE 93/00427

## A. CLASSIFICATION OF SUBJECT MATTER

IPC5: A61F 2/38, A61B 17/56 // A61F 2/30
According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

### IPC5: A61B, A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched.

### SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT  Category* Citation of document, with indication, where appropriate, of the relevant passages  X  FR, A1, 2630640 (PIERRE LE BEGUEC), 3 November 1989 (03.11.89), page 7, line 5 - line 12; page 8, line 33 - page 9, line 4, figures 3-4,10-11	Relevant to claim No.
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<del></del>	
A EP, A2, 0183669 (BRANEMARK, PER-INGVAR), 4 June 1986 (04.06.86), figures 9A-9D	1,4-5,7,12, 16,19
WO, A1, 9110408 (ZIMMER, INC.), 25 July 1991 (25.07.91), figure 3	20-21

X Further documents are listed in the continuation of Box	x C. X See patent family annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" eriter document but published on or after the international filing date document which may throw doubts on priority ctaim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art  "&" document member of the same patent family
Date of the actual completion of the international search  2 Sept 1993  Name and mailing address of the ISA/  Swedish Patent Office  Box 5055, S-102 42 STOCKHOLM  Facsimile No. +46 8 666 02 86	Date of mailing of the international search report  06-09-1993  Authorized officer  Leif Brander Telephone No. +46 8 782 25 00

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International application No. PCT/SE 93/00427

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Information on patent family members

30/07/93

International application No. PCT/SE 93/00427

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